



ANSI/CAN/UL 1974:2023

**JOINT CANADA-UNITED STATES NATIONAL STANDARD** 

Remanufacturing Batteries





### SCC FOREWORD

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UL Standard for Safety for Evaluation for Repurposing or Remanufacturing Batteries, ANSI/CAN/UL 1974

Second Edition, Dated November 10, 2023

### Summary of Topics

The Second Edition of UL 1974 dated November 10, 2023 has been issued to reflect the latest ANSI and SCC approval dates, and to incorporate the proposals dated May 5, 2023 and September 15, 2023.

The new and revised requirements are substantially in accordance with Proposal(s) on this subject dated May 5, 2023 and September 15, 2023.

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**NOVEMBER 10, 2023** 



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### ANSI/CAN/UL 1974:2023

### Standard for Evaluation for Repurposing or Remanufacturing Batteries

First Edition - October, 2018

Second Edition

November 10,2023

This ANSI/CAN/UL Safety Standard consists of the Second Edition.

The most recent designation of ANSI/UL 1974 as an American National Standard (ANSI) occurred on November 10, 2023. ANSI approval for a standard does not include the Cover Page, Transmittal Pages, Title Page, Preface or SCC Foreword.

This Standard has been designated as a National Standard of Canada (NSC) on November 10, 2023

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### **Preface**

This is the Second Edition of ANSI/CAN/UL 1974, Standard for Evaluation for Repurposing or Remanufacturing Batteries.

UL is accredited by the American National Standards Institute (ANSI) and the Standards Council of Canada (SCC) as a Standards Development Organization (SDO).

This Standard has been developed in compliance with the requirements of ANSI and SCC for accreditation of a Standards Development Organization.

This ANSI/CAN/UL 1974 Standard is under continuous maintenance, whereby each revision is approved in compliance with the requirements of ANSI and SCC for accreditation of a Standards Development Organization. In the event that no revisions are issued for a period of four years from the date of publication, action to revise, reaffirm, or withdraw the standard shall be initiated.

In Canada, there are two official languages, English and French. All safety warnings must be in French and English. Attention is drawn to the possibility that some Canadian authorities may require additional markings and/or installation instructions to be in both official languages.

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This Edition of the Standard has been formally approved by the Technical Committee (TC) on Repurposing or Remanufacturing Batteries, TC 1974.

This list represents the TC 1974 membership when the final text in this Standard was balloted. Since that time, changes in the membership may have occurred.

TC 1974 Membership

| Name              | Representing                     | Interest Category            | Region    |
|-------------------|----------------------------------|------------------------------|-----------|
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| Coy, Todd         | КВІ                              | Producer                     | USA       |
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| Lei, Qikai           | CSG Power Generation Company                                    | Commercial / Industrial User        | China                    |
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| Nusbaum, Howard      | NSVRP - National Salvage Vehicle<br>Reporting Program           | AHJ                                 | USA                      |
| Paiss, Matthew       | Pacific Northwest National Laboratory                           | Government                          | USA                      |
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| Pomerleau, Guy       | Blue Solutions Canada Inc.                                      | Producer                            | Quebec, Canada           |
| Procopio, Joseph     | Panasonic Corporation of North<br>America                       | Producer                            | USA                      |
| Richard, Robert      | Hazmat Safety Consulting LLC                                    | General Interest                    | USA                      |
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| Savage, Michael      | Marion County, FL   | AHJ                                 | USA                      |
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| Sudler III, Samuel   | SEA LTD   | General Interest                    | USA                      |
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| Szymczyk, Rick       | eCamion   | Producer                            | Ontario, Canada          |
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| Tong, Antonio        | University of California San Diego                              | General Interest                    | USA                      |
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| Yoo, Yeong           | National Research Council of Canada                             | Government                          | Ontario, Canada          |
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This Standard is intended to be used for conformity assessment.

The intended primary application of this Standard is stated in its scope. It is important to note that it remains the responsibility of the user of the standard to judge its suitability for this particular application.

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### INTRODUCTION

### 1 Scope

- 1.1 This Standard covers the sorting and grading process of battery packs, modules and cells and electrochemical capacitors that were originally configured and used for other purposes, such as electric vehicle propulsion, and that are intended for a repurposed use application, such as for use in energy storage systems and other applications for battery packs, modules, cells and electrochemical capacitors.
- 1.2 This Standard also covers application specific requirements for repurposed battery packs/systems and battery packs/systems utilizing repurposed modules, cells and other components.
- 1.3 This Standard also covers the sorting and grading process for remanufactured reconditioned or rebuilt batteries that may have not been previously evaluated to a safety standard, such as electric vehicle (EV) batteries remanufactured by the OEM intended for use in the same EV application or a similar EV application.
- 1.4 This Standard does not cover the aftermarket repair or replacement of OEM batteries by an entity other than the OEM or by an agent designated by an OEM.

### 2 Components

- 2.1 A component of a product covered by this Standard shalk
  - a) Comply with the requirements for that component as specified in this Standard;
  - b) Be used in accordance with its rating(s) established for the intended conditions of use; and
  - c) Be used within its established use limitations or conditions of acceptability.

NOTE: Section 4 includes CSA, UL, and ULC components standards that may be used in the products covered by this Standard.

- 2.2 A component of a product by this Standard is not required to comply with a specific component requirement that:
  - a) Involves a feature or characteristic not required in the application of the component in the product;
  - b) Is superseded by a requirement in this Standard; or
  - c) Is separately investigated when forming part of another component, provided the component is used within its established ratings and limitations.
- 2.3 Specific components are incomplete in construction features or restricted in performance capabilities. Such components are intended for use only under limited conditions, such as certain temperatures not exceeding specified limits, and shall be used only under those specific conditions.
- 2.4 A component that is also intended to perform other functions such as overcurrent protection, ground-fault circuit-interruption, surge suppression, any other similar functions, or any combination thereof, shall comply additionally with the requirements of the applicable standard(s) that cover devices that provide those functions.

### 3 Units of Measurement

3.1 Values stated without parentheses are the requirement. Values in parentheses are explanatory or approximate information.

### 4 Referenced Publications

- 4.1 Any undated reference to a code or standard appearing in the requirements of this Standard shall be interpreted as referring to the latest edition of that code or standard.
- 4.2 The following standards are referenced in this Standard, and portions of these referenced standards may be essential for compliance with this Standard. It is intended that the application and use of repurposed or remanufactured batteries covered by this Standard in an end product shall conform to the applicable installation codes and standards as appropriate for the country or countries where the repurposed or remanufactured battery is to be used.
- CSA C22.2 No. 62133-1, Secondary Cells and Batteries Containing Alkaline or Other Non-Acid Electrolytes Safety Requirements for Portable Sealed Secondary Cells, and for Batteries Made from Them, for Use in Portable Applications Part 1: Nickel Systems
- CSA C22.2 No. 62133-2, Secondary Cells and Batteries Containing Alkaline or Other Non-Acid Electrolytes Safety Requirements for Portable Sealed Secondary Cells, and for Batteries Made from Them, for Use in Portable Applications Part 2: Lithium Systems
- IEC 61982-4, Secondary Batteries (Except Lithium) for the Propulsion of Electric Road Vehicles Part 4: Safety Requirements of Nickel-Metal Hydride Cells and Modules
- IEC 62281, Safety of primary and secondary lithium cells and batteries during transport
- IEC 62619, Secondary Cells and Batteries Containing Alkaline or Other Non-Acid Electrolytes Safety Requirements for Secondary Lithium Cells and Batteries, for Use in Industrial Applications
- IEC 62660-3, Secondary Lithium-lon Cells for the Propulsion of Electric Road Vehicles Part 3: Safety Requirements
- IEEE 1625, Rechargeable Batteries for Multi-Cell Mobile Computing Devices
- IEEE 1725, Rechargeable Batteries for Mobile Phones
- SAE J2464, Electric and Hybrid Electric Vehicle Rechargeable Energy Storage System (RESS) Safety and Abuse Testing
- SAE J2950, Recommended Practices for Shipping Transport and Handling of Automotive-Type Battery System Lithium Ion
- UL 810A, Electrochemical Capacitors
- UL 1642, Lithium Batteries
- UL 1973, Batteries for Use in Stationary and Motive Auxiliary Power Applications
- UL 2054, Household and Commercial Batteries

UL/ULC 2271, Batteries for Use in Light Electric Vehicle (LEV) Applications

UL/ULC 2580, Batteries for Use in Electric Vehicles

UL 62133-1, Secondary Cells and Batteries Containing Alkaline or Other Non-Acid Electrolytes – Safety Requirements for Portable Sealed Secondary Cells, and for Batteries Made from Them, for Use in Portable Applications – Part 1: Nickel Systems

UL 62133-2, Secondary Cells and Batteries Containing Alkaline or Other Non-Acid Electrolytes – Safety Requirements for Portable Sealed Secondary Cells, and for Batteries Made from Them, for Use in Portable Applications – Part 2: Lithium Systems

### 5 Glossary

- 5.1 For the purpose of this Standard the following definitions apply.
- 5.2 BATTERY A general term for either a single cell or a group of cells connected together either in a series and/or parallel configuration.
- 5.3 BATTERY MANAGEMENT SYSTEM (BMS) The electrical, electronic and software monitoring and control system of a battery that is often relied upon to maintain the battery and its component cells within their specified operating region for charge and discharge, and may be source of memory of the battery operation throughout its life.
- 5.4 BATTERY PACK Assemblies of batteries that contain one or more cells/modules that are ready for use, contained in a protective enclosure, which may or may not contain protective devices, cooling systems and monitoring circuitry.
- 5.5 BATTERY SYSTEM An assembly that is ready for use and consists of the battery pack and BMS and other monitoring circuitry and controls and that provides electric energy for applications such as an electric vehicle. This assembly can include thermal management and safety systems. Some battery systems may include electrochemical capacitors.
- 5.6 CALENDAR EXPIRATION DATE Years or months designated by the original manufacturer to guarantee the performance of the cell, module, and/or battery pack/system. This may also be known as expiration date for usage. Sometimes referred to a "duration of life".
- 5.7 CELL The basic functional electrochemical unit containing an assembly of electrodes, electrolyte, separators, container, and terminals. It is a source of electrical energy by direct conversion of chemical energy.
- 5.8 DUT Device under test.
- 5.9 ELECTROCHEMICAL CAPACITOR An electric energy storage device where electrical charge is typically stored as a result of non-Faradaic reactions at the electrodes. The unique porous surface of the electrodes increases the surface area for holding charge resulting in much larger capacitance and energy density. Some other common names for an electrochemical capacitor are "double layer capacitor", "ultra capacitor", "electrochemical double layer capacitor" and "super capacitor".
- 5.10 GRADING A process performed by the repurposing manufacturer or original equipment manufacturer (OEM) of evaluating battery packs, modules and cells against metrics to determine if they may be directly reused or can be sorted into respective groups for repurposing based upon determined

state of health and remaining usable energy as determined in the process associated with the repurposing or remanufacturing of the subject items.

- 5.11 HIGH (HAZARDOUS) VOLTAGE A voltage exceeding 30 Vrms/42.4 Vpeak or 60 Vdc.
- 5.12 INSULATION, ELECTRICAL Materials or other means including distances through air (i.e. clearances) or over surfaces (i.e. creepage distances) used to prevent electrical conduction.
- 5.13 INSULATION LEVELS The following are levels of electrical insulation:
  - a) BASIC INSULATION Insulation to provide basic protection against electric shock.
  - b) DOUBLE INSULATION Insulation comprising both basic insulation and supplementary insulation.
  - c) FUNCTIONAL INSULATION Insulation that is necessary only for the correct functioning of the equipment. Functional insulation by definition does not protect against electric shock. It may, however, reduce the likelihood of ignition and fire.
  - d) REINFORCED INSULATION Single insulation system that provides a degree of protection against electric shock equivalent to double insulation under the conditions specified in this Standard. The term "insulation system" does not imply that the insulation has to be in one homogeneous piece. It may comprise several layers that cannot be tested as basic insulation and supplementary insulation.
  - e) SUPPLEMENTARY INSULATION Independent insulation applied in addition to basic insulation in order to reduce the risk of electric shock in the event of a failure of the basic insulation.
- 5.14 MANUFACTURER The organization responsible for the original manufacturing of the battery or the specified components such as the component cells and BMS, sometimes referred to as the original equipment manufacturer (OEM).
- 5.15 MANUFACTURER, REPURPOSING The organization responsible for the used battery repurposing process.
- 5.16 MODULE A subassembly consisting of a group of cells or electrochemical capacitors connected together either in a series and/or parallel configuration (sometimes referred to as a block) with or without battery monitoring unit.
- 5.17 RECYCLE—The recapture of materials for reuse from a product that has reached its end of life and has been disposed of.
- 5.18 REMANUFACTURED BATTERY A battery pack/system that was used in the field and returned for repair and/or replacement of parts for use in the same intended application. Other terms used for a remanufactured battery are "refurbished battery" or "rebuilt battery".
- 5.19 REMANUFACTURING OEM The organization responsible for the used battery remanufacturing process, and can be either the original equipment manufacturer (OEM) or the OEM's designated agent under the supervision of the OEM.
- 5.20 REPURPOSED BATTERY A battery pack/system that was used in one application in the field that is subject to some level of analysis and reconfiguration for use in a different application. An example of a repurposed battery is a stationary energy storage battery that has been built using used electric vehicle batteries, modules or cells. Another example of a repurposed battery is a battery of a low performance

vehicle that has been built using batteries, modules or cells retired from a high-performance electric vehicle. Another term for a repurposed battery is "second life battery".

- 5.21 ROOM AMBIENT The room ambient temperature controlled by the repurposing manufacturer for the battery sorting and grading process, typically  $T_R$  ±2 °C (±3.6 °F), where  $T_R$  is a value between 20 °C and 25 °C.
- 5.22 SAMPLE, FRESH The new sample produced by the OEM, typically not more than 6 months old.
- 5.23 SORTING AND GRADING PROCESS A determination of the state of health and other parameters of the subject to identify continued viability and the rating mechanisms the repurposing manufacturer may use for those that are determined suitable for continued use.
- 5.24 STATE OF CHARGE (SOC) The available capacity in a battery system, battery pack, module or cell expressed as a percentage of rated capacity in terms of both coulombic capacity (Ah) and/or energy capacity (Wh).
- 5.25 STATE OF HEALTH (SOH) A quantification of condition of the battery and the level of expected performance for reuse, and the amount of usable energy capacity in a battery and its anticipated useful cycle life determined through various diagnostic measurements, which can include usable energy decay, increase in internal resistance, etc.

### CONSTRUCTION

### 6 General

6.1 The used components of the battery systems shall not be considered for repurposing if they have already been used longer than the calendar expiration date specified by the original manufacturer. If this information is available, the repurposing manufacturer shall confirm the designated calendar expiration date of the components for repurposing.

Exception: The components may be considered for the repurposing if the suitability and safety performance of the components has been verified by the repurposing manufacturer. The electrical and mechanical performance of the materials, the state of health of the cells and battery, and the age of the battery and its components shall be considered by the repurposing manufacturer when determining the suitability of the components of the battery systems for repurposing.

6.2 The construction of a battery for the purpose of sorting and grading associated with its repurposing or remanufacturing shall be based on its suitability for its intended end use application. For repurpose batteries, guidance on required battery construction can be found in the standards referenced in <u>Table 6.1</u>. For remanufactured batteries, guidance on required battery construction shall be determined by the OEM's manufacturing process.

# Table 6.1 Applicable standard requirements

| End Use application   | Standard              |
|---|-----------------------|
| Batteries For Use In Light Electric Vehicle (LEV) Applications  | UL/ULC 2271           |
| Batteries For Use In Electric Vehicles  | UL/ULC 2580           |
| Batteries for Use in Stationary and Motive Auxiliary Power Applications   | UL 1973 <sup>a)</sup> |
| <sup>a)</sup> The scope of UL 1973 includes batteries for use in stationary, light electric rail applications, and as auxiliary power in recreational vehicles and for temporary energy storage system applications that are mobile, but used as stationary energy storage. | 0                     |

- 6.3 The repurposing manufacturer shall set up the requirement documents for the key construction and materials related to safety in order to comply with the end application standard. During the redesign and reassembly of the repurposed batteries, these requirement documents shall be followed.
- 6.4 The remanufacturing OEM shall follow their manufacturing control documents that identify the key construction and materials of the EV battery related to safety to ensure that the batteries continue to comply with their remanufacturing process. During the remanufacture of the EV batteries, these manufacturing control documents shall be followed.

### 7 Materials

- 7.1 Materials employed in the battery shall be suitable for the intended application. Non-metallic materials utilized as enclosures, casings and electrical or thermal insulation shall have temperature, flammability, and electrical or other material properties sufficient for their utilization in the battery and the battery's intended use. Metallic materials shall be corrosion resistant and if used for current carrying parts, consist of metals acceptable for that purpose.
- 7.2 Guidance on suitable material choices for the intended battery application may be found in the standards outlined in Table 6.1.

### 8 Enclosures

- 8.1 The suitability of a battery enclosure is dependent upon the end use design and application. The enclosure may serve as a protective casing of a component battery intended to be installed into equipment, where it will be protected by the equipment enclosure or it may serve as all or part of the equipment enclosure. If the battery enclosure serves as all or part of an end use application enclosure, the type of application (e.g. whether the product is a portable, a vehicle or a stationary application, etc.) will also determine the requirements for that enclosure.
- 8.2 When evaluating the enclosure construction, consideration shall be given to the materials employed as outlined in Section 7, the robustness of the enclosure to prevent mechanical abuse to the contents of the enclosure, the methods of securement of the enclosure parts, and the size and location of openings in the enclosure to ensure the ventilation or thermal management of the battery and to prevent access to hazardous parts of the battery and to prevent ingress of debris and moisture into the enclosure.
- 8.3 The suitability of a remanufactured battery enclosure is dependent upon its original end use design and application and may be based on the remanufacturing OEM's manufacturing process.
- 8.4 Guidance of requirement and evaluation of the battery enclosure for the intended application may be found in the standards outlined in Table 6.1.

### 9 Wiring and Connections

9.1 Wiring shall be reliably secured to prevent inadvertent shorting and reduction of spacings. The wiring shall be provided with insulation sufficient for the voltage levels and environmental exposure. Refer to the battery standards of <u>Table 6.1</u> for wiring criteria for specific end use applications.

### 10 Electrical Spacings and Insulation Levels

- 10.1 There are several different levels of insulation that may be provided in a battery depending upon the voltage. These levels include functional insulation, basic insulation, double insulation (consisting of basic and supplementary insulation) and reinforced insulation. See 5.13 for definitions of the insulation levels.
- 10.2 Batteries with hazardous voltage circuits shall be provided with at least two levels of protection between hazardous voltage circuits and accessible conductive parts consisting of one of the following:
  - a) Basic insulation plus protective grounding;
  - b) Double insulation; or
  - c) A single layer of reinforced insulation determined equivalent to double insulation.
- 10.3 Electrical spacings through air, referred to in some standards as clearances, and over surface, referred to in some standards as creepage distances, shall comply with the requirements outlined in the applicable battery standards of <u>Table 6.1</u>.

### 11 Controls

11.1 BMS and other protection controls that are intended to be used in the repurposed battery shall be evaluated to ascertain their ability to serve their intended purpose. Any reliability analysis of the BMS and other battery control system relied upon for safety, shall comply with the requirements of the applicable battery standards of <a href="Table 6.1">Table 6.1</a> or in the case of remanufactured EV batteries, the remanufacturing OEM's manufacturing process. A check of the BMS functionality shall be part of the repurposing or remanufacturing production testing on all production battery systems with the BMS included for repurposing or remanufacturing in accordance with 18.6.

### 12 Coolant and Other Critical Systems

12.1 Other systems of the battery such as thermal management systems shall be evaluated to determine that they are functioning and can remain if intended to be used in a repurposed or remanufactured battery. Systems relied upon for the safe operation of the repurposed or remanufactured battery shall meet the criteria of the intended end use application standard. Refer to the battery standards of Table 6.1.

### 13 Cells and Electrochemical Capacitors

13.1 Cells and electrochemical capacitors intended for repurposing or remanufacturing shall comply with appropriate safety test requirements of the intended end use application standard. Standards outlined in <a href="Table 13.1">Table 13.1</a> are reference standards, other cell or capacitor safety standards may be applied if accepted by the end use application.

# Table 13.1 Cell safety criteria

| Chemistry                          | Safety Test Requirements <sup>a), b)</sup>   |  |
|------------------------------------|--|--|
| Lithium ion                        | UL/ULC 2580, UL/ULC 2271, UL 1973, UL 1642, UL 62133-2/CSA C22.2 No. 62133-2, IEC 62619, IEC 62660-3 |  |
| Chemistries other than lithium ion | UL/ULC 2580, UL/ULC 2271, UL 1973, UL 2054, UL 62133-1/CSA C22.2 No. 62133-1, IEC 61982-4            |  |
| Electrochemical capacitors         | UL/ULC 2580, UL/ULC 2271, UL 1973, UL 810A   |  |
| 3) 0.1                             |  |  |

a) Other safety test requirements may be applied if accepted by the end use application.

- 13.2 Documentation that the cell or capacitor design had complied with a safety testing program suitable for the technology when initially manufactured shall be collected to determine the compliance for the end use application. If proof of compliance is not available then compliance shall be determined through the tests outlined in one of the appropriate referenced standards in <a href="Table 13.1">Table 13.1</a> to comply with the end use application standard. Testing may be conducted at the module level for repurposed or remanufactured uses to comply with the end use application standard, if the cells or capacitors cannot be easily disassembled from the module.
- 13.3 Cells assembled into repurposed batteries shall be of the same model from the same manufacturer.

### **QUALITY CONTROL AND SAFETY OF FACILITIES**

### 14 Quality Control

- 14.1 The repurposing manufacturer or the remanufacturing OEM shall have sufficient knowledge of the characteristics and handling of cells, modules, battery packs/systems and/or BMS to perform sorting and grading for repurposing or remanufacturing, and shall have documented production process controls in place. These controls shall continually monitor and record the following key elements of the repurposing or remanufacturing manufacturing process that can affect safety, and shall include measured parametric limits enabling corrective/preventative action to address defects (out of limit parameters) found affecting these key elements:
  - a) Control of incoming parts including used batteries;
  - b) Control of repurposing or remanufacturing processes and data gathering; and
  - c) Control of rejected parts.
- 14.2 The facilities used for repurposing or remanufacturing shall provide a controlled environment for storage, handling and testing the batteries, in accordance with the repurposing manufacturer's or remanufacturing OEM's specifications. Test equipment used for evaluation of the batteries shall be:
  - a) Suitable for the testing;
  - b) Maintained in accordance with the test equipment specifications; and
  - c) Calibrated on a routine basis, in accordance with the repurposing manufacturer's or remanufacturing OEM's quality control procedures.

b) Additional cell-level testing of the repurposed or remanufactured cell may be required by the end-product application standard as noted in <u>Table 6.1</u>.

### 15 Safety of Facilities

- 15.1 Batteries such as lithium ion batteries are considered hazardous materials and handling and testing of batteries shall be done with care. Repurposing manufacturers and remanufacturing OEMs shall have operating procedures in place for the safe handling, storage, testing, shipping and disposal of batteries and parts associated with repurposing or remanufacturing.
- 15.2 Facilities utilized for the repurposing or remanufacturing of batteries including their storage, processing and testing, shall be in accordance with local fire and building codes.

### **EXAMINATION OF INCOMING SAMPLES**

### 16 General

- 16.1 As part of the repurposing or remanufacturing sorting procedure, a visual examination shall be made of the battery and an assessment of the manner in which it was tested as part of the manufacturing process shall be conducted. If available a review of the battery's previous use shall also be conducted.
- 16.2 Care when handling and storing batteries, especially large battery samples such as EV batteries, should be practiced at all times. Storage of batteries shall be in accordance with repurposing manufacturer's or remanufacturing OEM's procedures and also in accordance with local fire and building codes with regard to hazardous materials storage. When transporting batteries, including used EV batteries, transport regulations should be followed. Guidance on the safe handling and transport of EV batteries can be found in SAE J2950.

### 17 Procedures for Examination and Sorting of Used Batteries and Their Components

### 17.1 General

- 17.1.1 Documentation and information related to the battery pack/system to be repurposed such as markings, instructions, history of previous use including electronic data stored, and involvement in any incidents that would affect its safety or performance, and other information shall be reviewed by the repurposing manufacturer to assist in understanding the overall construction of the battery, how the battery was intended to be used, information on components that are critical to the safe operation and use of the battery and to assist in establishing the overall state of health of the battery.
- 17.1.2 Documentation and information related to the battery pack/system to be remanufactured such as markings, instructions, history of previous use including electronic data stored and retained, and involvement in any incidents that would affect its safety, and other information shall be reviewed by the remanufacturing OEM to assist in establishing the overall state of health of the battery to be remanufactured.

### 17.2 Information gathering and review as part of the initial sorting procedures

### 17.2.1 Battery

- 17.2.1.1 Information (such as labels, specifications, maintenance history, records of any safety related incidents) available on the battery shall be gathered and reviewed by the repurposing manufacturer or the remanufacturing OEM, for an understanding of the battery to be used for repurposing or remanufacturing. Documentation useful for determining the state of health and for a better understanding of the overall battery design and history includes the following:
  - a) Battery markings: Battery nameplate for ratings, and other markings, including date of manufacturer, warning labels, symbols and instructions;

- b) Battery schematics;
- c) Battery specifications, instructions including information on the battery chemistry, and other available literature on the battery including commissioning, recommissioning, charging, discharging, storage, service/handling/ operational procedure manuals, electric vehicle first responder manuals, etc.;
- d) Battery construction and configuration, weight, dimensions, contents of battery, schematics and configuration of modules and other parts in battery;
- e) Information on the battery system components such as cooling system (including coolant if applicable), isolation monitoring system, high voltage bus, insulation and support materials, etc.;
- f) Reason for the battery being taken out of service, date of removal from service and information on storage and handling history before repurposing or remanufacturing procedures.
- g) If available, information on the BMS should be gathered including BMS specifications, algorithms for charging and discharging, BMS manufacturer, part number, date of manufacturer, etc.;
- h) If available, the storage condition after the battery has been taken from the previous end application and the latest operational data available from the BMS before the battery was taken from the previous end application;
- i) If available, the records of the battery fault/breakdown/abnormal conditions and maintenance in the previous end application; and
- j) If available, the standard(s) the battery components had initially complied with.

### 17.2.2 **Modules**

- 17.2.2.1 Information available on the battery modules shall be gathered for review by the repurposing manufacturer for a better understanding of the design of the battery. The following information shall be gathered:
  - a) Module markings, ratings, manufacturer and part number as well as date of manufacturer and any other markings on the modules;
  - b) Module specifications, instructions and other available literature including installation information of module, charging and discharging parameters; and
  - c) Module configuration and construction, including contents of module, schematics and configuration of cells, weight, and dimensions.

### 17.2.3 Cells

- 17.2.3.1 Information available on the cells used in the battery shall be gathered and reviewed by the repurposing manufacturer for a better understanding of the battery design, and state of health of the cells. This information shall include:
  - a) Date of manufacture of the cells;
  - b) Chemistry of the cells, weight, dimensions, and overall design of the cells if available, etc.;
  - c) Manufacturer and part number of the cells, along with other markings on cells;
  - d) The cell specification sheet indicating ratings for nominal voltage and capacity of cells at start of life, discharging, charging, storage and other specifications of the cells shall be provided;

- e) If available, the safety test data/information on safety tests conducted on the cells gathered for review; and
- f) Calendar expiration date.
- 17.2.3.2 The cell construction shall be reviewed according to the repurposing manufacturer's procedure for an understanding of the internal construction of the cells. If drawings and information from the cell manufacturer are not available, a disassembly of a representative discharged cell(s) from a battery intended for repurposing, shall be conducted to review the internal construction including electrode assembly, placement of insulation, separator coverage, center tube (if present in the battery design), vent or other means to relieve pressure, internal protective devices, tab construction and placement, etc. See IEEE 1625 or IEEE 1725 for guidance on cell construction items to review. The construction information shall be gathered and can assist with the cell data analysis of 18.9.

### 17.2.4 BMS and auxiliary systems

- 17.2.4.1 Information on the BMS and other auxiliary systems, such as the cooling system used in the battery that are intended to be repurposed or remanufactured, shall be gathered and reviewed for a better understanding of the battery design, state of health, and suitability for repurposing or remanufacturing. The information to be gathered and reviewed may include:
  - a) For BMS:
    - 1) Date of manufacture, part number and manufacturer and BMS specifications with regard to current, voltage and temperature protections and correlated trip delay time;
    - 2) Communication protocols, CANBUS message schemes, variables, etc.;
    - 3) BMS software version update related to battery safety during service; and
    - 4) Schematics, board layout, algorithms, markings and any literature pertaining to use, installation, operation, programming and maintenance of the BMS.
  - b) For cooling systems:
    - 1) Manufacturer, part number and specifications with regard to temperature and controls, system flow rate, and incoming and outgoing temperatures;
    - 2) Coolant material/fluid and parts list of cooling system; and
    - 3) Information on installation, trouble shooting, operating, maintenance, etc.
  - c) For other systems:
    - 1) Manufacturer, part number and specifications (e.g. electrical ratings, physical dimensions, use parameters, etc.); and
    - 2) Information on installation, trouble shooting, operating, maintenance, etc.

### 17.3 Initial and subsequent rejection procedures

17.3.1 A review of available information on the battery use, safety related incidents or repairs shall be conducted to determine the reason(s) for the battery to be taken out of service from its first use application. Batteries taken out of service due to certain known exposure circumstances that could affect their safe operation and use shall not be considered for repurposing in accordance with the repurposing manufacturer's procedures or for remanufacturing in accordance with the remanufacturing OEM's procedures. These circumstances may include, but are not limited to, severe environmental exposures

and abuses such as vehicle crash which is likely to have affected the battery, vehicle flooding, and exposure to fire. The repurposing manufacturer or remanufacturing OEM shall identify for their processes, those exposure situations where a battery may not be considered for repurposing or for remanufacturing.

- 17.3.2 In addition to exposure criteria for rejection, the repurposing manufacturer or remanufacturing OEM shall identify performance issues, physical, and other signs of damage to or problems with the batteries or other parts to be repurposed that would be cause for rejection during the initial and subsequent sorting and grading process for repurposing or for remanufacturing.
- 17.3.3 Batteries and other parts intended to be rejected for re-use, shall be disposed of according to repurposing manufacturer's or remanufacturing OEM's procedures as identified in Section 20. Batteries and parts identified as not suitable for repurposing or remanufacturing shall not be reintroduced into the sorting and grading process.
- 17.3.4 The samples that are not rejected shall be assigned tracking numbers and shall then be subjected to subsequent sorting and grading procedures by the repurposing manufacturer or by the remanufacturing OEM.

### 17.4 Visual inspection of incoming samples

17.4.1 A visual inspection of the incoming sample such as the overall battery and its auxiliary systems shall be conducted before disassembly. Visible signs of damage such as cracks, swelling, notable odor, discoloration, or burn marks, shall be noted and documented. Visible damage that is identified shall be reviewed with the repurposing manufacturer's or remanufacturing OEM's procedures for rejection to determine if it is necessary to reject all or some portions of the assembly as a result of visible signs of damage.

### 17.5 Gathering and analysis of BMS data

- 17.5.1 An important means for obtaining information on the state of health of the battery, modules and cells is through accessing the stored data from the battery BMS. See Annex A for information that may be gathered from the BMS that would be useful in determining the health of the battery for repurposing or remanufacturing. Minimally, the level of information that should be retrieved from the BMS for sorting and grading of the battery, modules, cells and other components monitored by the BMS that are intended for repurposing or remanufacturing shall include:
  - a) The average and extreme values of voltage, current, temperature, and SOC;
  - b) The out of specification values for voltage, current and temperature;
  - c) The total times or numbers at extreme values and out of specification values for voltage, current and temperature;
  - d) The total charge and discharge throughput over the lifetime;
  - e) The total times or numbers under charge and under discharge over the lifetime;
  - f) The number or type of error messages; and
  - g) The number of times contactor operated.

Exception: In some cases, BMS data may not be available for analysis. For this situation, a process for conducting an in-depth analysis of the incoming samples is required including a procedure for determining the health of the cells and other parts of the system without the aid of BMS data through testing of samples and documentation provided on the cells and comparison with data from fresh samples or specifications for the cells.

- 17.5.2 If any out of specification limits for voltage, current and temperature are not a signal for immediate rejection of the battery for repurposing or remanufacturing, the maximum value of the parameter and length of exposure time to these out of specification limits shall be retrieved from the BMS if available. A determination must then be made as to the effect on the cells for repurposing or remanufacturing. If the length of exposure to out of specification limits is not available from the BMS, the battery and/or the damaged module or cell, shall be rejected for repurposing or remanufacturing unless it can be established through testing that the cells have sufficient health for repurposing or remanufacturing.
- 17.5.3 If the number of error messages from the BMS or number of times the contactor operates is outside of specified limits, this shall be an indication for rejection of the battery for repurposing or remanufacturing due to faulty BMS information and/or potential battery exposure to hazardous conditions unless it can be established through testing that the cells have sufficient health for repurposing or remanufacturing.

### 17.6 Disassembly and examination

- 17.6.1 The procedure for evaluating batteries for repurposing or remanufacturing shall include a visual examination of the battery pack/system and its components and parts to determine that there is no visible evidence of damage. Any signs of visible damage determined during this examination shall be considered sufficient reason for rejection of the damaged component, unless the damage is minor and it can be determined through testing that the damage does not impact the safety of the batteries and parts for repurposing or remanufacturing. Examples of signs of damage that can be considered grounds for rejection can include, but are not limited to the following:
  - a) Swelling of cells or modules, vented cells, electrolyte leakage, bent/damaged casings and terminals, traces of burning or other damage;
  - b) Frayed, damaged wiring, damaged/discolored insulation, damaged HV bus, isolation system damage, examination of PCBs for damage.
  - c) Bent or damaged casings and enclosure or support structures, loose connections and parts; or
  - d) Damage of thermal management systems such as leaking coolant systems, blockage of fans or other locking or overloading of rotating parts.
- 17.6.2 After overall nondestructive examination of the battery packs/systems and its parts and any testing in accordance with Section 18 that needs to be conducted on the assembled battery pack/system prior to disassembly, the pack shall be disassembled into its anticipated smallest usable part for repurposing. This can be minor disassembly of auxiliary or other parts with the pack remaining in its original configuration, to disassembly into modules or even individual cells.
- 17.6.3 The procedures for safely disassembling the battery pack including the need for any initial discharging shall be conducted according to the repurposing manufacturer procedures or according to the remanufacturing OEM's procedures. Individual separated parts taken from the battery pack shall be tagged with a tracking number and documented in a process log. Discarded parts shall be removed from further processing and disposed of in accordance with 17.3 and Section 20.

### 17.7 Storage condition tracking

17.7.1 Batteries that are intended for repurposing or remanufacturing in accordance with this Standard shall have the ambient temperature and humidity conditions associated with their storage before repurposing or remanufacturing monitored and recorded on minimum a daily basis by the repurposing manufacturer or by the remanufacturing OEM.

- 17.7.2 Any charging or discharging conducted as part of the storage procedures shall be measured and recorded by the repurposing manufacturer or by the remanufacturing OEM.
- 17.7.3 The open circuit voltage (OCV) of the part(s) under storage after the sorting and grading procedure shall be documented at the initial and final stage of storage of the processed parts by the repurposing manufacturer or by the remanufacturing OEM. Any sorted parts that are found to have a self-discharge rate outside of the repurposing manufacturer's or remanufacturing OEM's established acceptable limits, shall be discarded according to procedures outlined in Section 20.

### 17.8 Grading of batteries for repurposing or for remanufacturing

- 17.8.1 The repurposing manufacturer shall have a system for grading cells, modules and battery packs/systems for repurposing. This is to ensure that assemblies using repurposed cells and modules disassembled from previously used battery packs employ a combination of used cells; modules and battery packs that are balanced and appropriately matched to prevent performance and safety problems in the final assembly.
- 17.8.2 The remanufacturing OEM shall have a system for grading of the battery packs/systems for remanufacturing based upon their determined state of health.
- 17.8.3 Cells and modules that have been disassembled from a battery pack and battery packs that have been found suitable for repurposing through evaluation and testing shall be graded in a manner to ensure they are assembled with cells, modules and battery packs that are equivalent with regard to remaining usable energy and state of health.
- 17.8.4 The repurposing manufacturer or remanufacturing OEM shall have a defined criterion for the grading of the batteries. It is recommended that the repurposing manufacturer or remanufacturing OEM use a 6 sigma limit or narrower from the new battery specification values as a criterion for properties, such as OCV, capacity, internal resistance, mass, dimensions, etc. For example, batteries that fall within a 6 specified sigma range for each property can be placed in a particular grade associated with that sigma limit.
- 17.8.5 As part of the grading system, batteries shall be identified and documented by the repurposing manufacturer or remanufacturing OEM with regard to their assigned grade upon completion of the evaluation including testing, and sorted into their specified grades prior to being re-assembled into a module or battery assembly.

### **PERFORMANCE**

### 18 Testing for the Sorting and Grading Process

### 18.1 General

- 18.1.1 In addition to the inspection for damage outlined in <u>17.4</u>, the following test procedures shall be conducted by the repurposing manufacturer or remanufacturing OEM as part of the routine analysis of the incoming battery assembly:
  - a) Incoming open circuit voltage (OCV) measurements (18.2);
  - b) Incoming high voltage isolation check (18.3);
  - c) Capacity check (18.4);
  - d) Internal resistance check (18.5);

- e) Check of BMS controls and protection components (18.6);
- f) Discharge/charge cycle test (monitoring of temperature, voltage and current of cells and modules) (18.7); and
- g) Self-discharge (18.8).
- 18.1.2 In conducting these tests, when the original specification is referenced, it applies to the specification of the batteries as reported by the original manufacturer of the item.
- 18.1.3 The test procedures in 18.4, 18.5, 18.7, and 18.8 shall be conducted on the whole battery pack/system for repurposing or remanufacturing and also on its smallest intended disassembled unit for repurposing. For example if the smallest disassembled unit is a module, the testing is done on the whole battery pack/system and also on the modules intended for repurposing. If the smallest disassembled unit is a cell, the testing is done on the whole battery pack/system and also on the cells intended for repurposing.
- 18.1.4 If the repurposing manufacturer only intends to repurpose a module or cell, the only testing required on the battery pack/system is the incoming OCV (18.2) and the incoming high voltage isolation check (18.3) tests. The tests of 18.4 through 18.8 can be waived on the battery pack/system if the battery pack/system will not be repurposed and only the disassembled modules and cells are being repurposed.
- 18.1.5 All data obtained during the testing noted above is to be documented and compared with specified values to determine if they fall within the acceptable levels for the parameters. DUTs that fall within the acceptable levels are further grouped according to tolerance range per 17.8.
- 18.1.6 Batteries and/or parts falling outside of specific limits for testing shall be rejected in accordance with repurposing or remanufacturing procedures of 17.3 and Section 20.
- 18.1.7 The parts measured (i.e. battery pack/system, modules and cells) shall be assigned tracking numbers for tracking purposes during the process.

### 18.2 Incoming open circuit voltage (OCV) measurements

- 18.2.1 The following OCV measurements shall be made on the battery and its components for determining its state of charge as needed for the various tests of this Standard:
  - a) Battery Pack/System high voltage system OCV;
  - b) Module OCVs; and
  - c) Cell OCVs.
- 18.2.2 The sum of cell OCV voltage in the module shall be compared to the module OCV, the sum of module OCV voltage in the battery pack/system shall be compared to the battery pack/system OCV. The reason for any variation shall be determined and recorded. The misused cells should be identified for further determination through subsequent testing.
- 18.2.3 The measured OCVs shall be compared to the minimum voltage limit acceptable for the DUT specified by the repurposing manufacturer or remanufacturing OEM. Those cells and modules and battery packs/systems that have been found larger than or equal to the specified minimum voltage limit shall be subjected to other processing tests as required in this Standard to determine acceptance. Those DUTs with OCV below the minimum voltage limit specified by the repurposing manufacturer or remanufacturing OEM shall be rejected and discarded in accordance with 17.3 and Section 20. All OCV measurements shall be documented with parts measured identified.

### 18.3 Incoming high voltage isolation check

- 18.3.1 The isolation of the battery high voltage system shall be checked to determine that there is no insulation breakdown in the battery. The insulation shall be checked as outlined in 18.3.2 18.3.4.
- 18.3.2 A battery with accessible parts shall be subjected to an insulation resistance test first between the positive terminal and accessible dead metal parts of the battery and second between the negative terminal and the accessible dead metal parts of the battery. If the accessible parts of the battery are covered with insulating material that may become live in the event of an insulation fault, then the test voltages are applied between each of the live parts and metal foil in contact with the accessible parts.
- 18.3.3 The insulation resistance shall be measured after a minimum 60-s application with an insulation resistance measurement device using a 500 Vdc potential applied to the locations under test.
- 18.3.4 The measured insulation resistance between the positive/negative terminals and the accessible dead parts of the battery shall be at least 100  $\Omega$ /V for dc circuit and at least 500  $\Omega$ /V for ac and ac combined circuit or 50,000  $\Omega$ . If the modules and cells are intended to be repurposed even in a battery pack with low isolation resistance, special care shall be taken during disassembly of those battery packs/systems to identify the circuits with breakdown isolation. Batteries or battery circuits with values below these limits shall be rejected and discarded in accordance with 17.3 and Section 20. The repurposing manufacturer or remanufacturing OEM may be able to use individual cells or modules from a battery that has low insulation resistance if their process can demonstrate that these components have not been damaged and are suitable for repurposing.
- 18.3.5 All high voltage isolation check measures shall be documented and the battery identified.

### 18.4 Capacity check

- 18.4.1 The capacity check of the battery (cell, module or battery pack/system) shall be conducted according to the standard capacity test as specified by the repurposing manufacturer or remanufacturing OEM. If the standard capacity test method is not available, the capacity check test shall be conducted as follows in 18.4.2 18.4.4.
- 18.4.2 The cell, module or battery pack/system shall be charged in accordance with the repurposing manufacturer's or the remanufacturing OEM's specifications at room ambient temperature until it is fully charged. The DUT shall remain at rest in room ambient conditions at full state of charge for a period of 1 to 4 h.
- 18.4.3 At the conclusion of the rest period, the DUT shall be subjected to a constant current or constant power discharge at the repurposing manufacturer's or the remanufacturing OEM's standard discharge rate until it reaches the end of discharge condition. The delivered coulombic capacity and/or energy capacity to specified end of discharge condition shall be recorded.
- 18.4.4 The capacity of the aged DUT shall be calculated using the measured values for current and time and documented. This measured value obtained for capacity shall be compared with the repurposing manufacturer's ratings or as-received data on the DUT's capacity. DUTs whose measured capacities show a drop greater than the repurposing manufactures or remanufacturing OEM's specified limits for capacity shall be rejected and discarded in accordance with 17.3 and Section 20.
- 18.4.5 All capacity measurements shall be recorded with measured DUTs identified. Cells and modules that are not rejected shall be sorted according to available capacity into groups per <u>17.8</u>.

### 18.5 Internal resistance check

- 18.5.1 An internal resistance check of the battery (battery pack, module or cells) shall be conducted. This test shall follow the capacity check test of  $\underline{18.4}$ . The test shall be conducted in accordance to the standard resistance test as specified by the repurposing manufacturer or remanufacturing OEM. If the standard resistance test is not available, the test shall be conducted as follows in  $\underline{18.5.2} \underline{18.5.7}$ . If a complete battery pack is intended for repurposing or for remanufacturing, there shall be additional resistance checks at the module level (or cell level if the battery does not contain modules) at locations where cells may be subjected to the most severe thermal conditions during use due to their location within the battery pack.
- 18.5.2 The DUT shall be charged per the repurposing manufacturer's or the remanufacturing OEM's specifications in room ambient conditions until the DUT is in the fully charged condition. The sample shall then sit at fully charged condition at room ambient between 30 min to 4 h.
- 18.5.3 The DUT shall be discharged at a constant current rate  $I_1$ , specified by the repurposing manufacturer or remanufacturing OEM in room ambient temperatures for a duration of  $T_1$  specified by repurposing manufacturer or remanufacturing OEM (typically a discharge time to reach capacity between 80 % to 90 % SOC), the discharge voltage  $V_1$  under load shall be measured and recorded. The DUT is then immediately subjected to an additional constant current discharge at a rate of  $I_2 = 5I_1$  for a duration of  $T_2$  specified by the repurposing manufacturer or remanufacturing OEM (in seconds, typically a discharge time between 1 s to 10 s). The corresponding discharge voltage  $V_2$  of the DUT is measured under load and recorded at the end of pulse discharge. Voltage and current during the discharge should be recorded at a rate not less than  $10/T_2$  sample per second.
- 18.5.4 The resistance shall be calculated using the following formula and compared with the specified value:

$$R = \frac{(V_1 - V_2)}{(I_2 - I_1)} (\Omega)$$

Where:

R is the dc resistance in ohms ( $\Omega$ ).

 $V_1$  is the first voltage measurement in volts (V).

 $I_1$  is the first discharge current rate in amps (A).

 $V_2$  is the second voltage measurement in volts (V).

 $I_2$  is the second discharge current rate in amps (A).

- 18.5.5 The internal resistance of the DUTs shall be determined using the method outlined in 18.5.4. DUTs with a measured internal DC resistance greater than the specified value by the repurposing manufacturer or remanufacturing OEM based on its intended repurposing application or original application for remanufacturing shall be rejected and discarded in accordance with 17.3 and Section 20.
- 18.5.6 All internal DC resistance measurements shall be recorded with measured DUTs identified. All internal resistances shall also be cataloged based on remaining capacity, previous duty cycle, and previous climate, if known, and historic data used for comparison against similar specimens.
- 18.5.7 The DUT shall be continuously discharged to 20 % SOC in accordance with the method specified by the repurposing manufacturer or remanufacturing OEM and then allowed to rest at room ambient

temperature between 30 min to 4 h. The internal resistance measurement shall then be repeated on samples that are at 20 % SOC in accordance with 18.5.3 through 18.5.4.

18.5.8 DUTs that have not been rejected shall be subjected to further repurposing processes.

### 18.6 Check of BMS controls and protection components

- 18.6.1 If the BMS and any other protection components are to be utilized for repurposing, a check to ensure that they are functioning as anticipated shall be conducted on the battery.
- 18.6.2 A functionality check of the BMS and other protection components shall be conducted to determine that they are suitable for repurposing or remanufacturing, the functionality check shall include both performance and safety parameters to ensure functionality of these parts.
- 18.6.3 When evaluating the BMS for functionality, a review of its data including error messages shall be obtained and reviewed to determine if the BMS can be utilized for repurposing. See Annex A.
- 18.6.4 Components including the BMS that are located in high voltage circuits shall be evaluated for damage to insulation through inspection and if necessary dielectric withstand voltage testing. The voltage test value and method of testing shall be in accordance with the applicable end use application battery standard outlined in Table 6.1.
- 18.6.5 If the BMS or other controls are determined to be unsuitable for repurposing or for remanufacturing, they shall be rejected and discarded in accordance with <u>17.3</u> and Section <u>20</u>.

# 18.7 Discharge/charge cycle test (monitoring of temperature, voltage and current of cells and modules)

- 18.7.1 The battery (battery pack/system, module or cells) shall be subjected to discharge/charge cycles while monitoring for temperature, voltage and current to ensure that component cells or modules are operating within the repurposing manufacturer's specifications or the remanufacturing OEM's specifications.
- 18.7.2 The samples shall be discharged and charged for at least 1 cycle at room ambient temperature. If the minimum operating ambient temperature as specified by the repurposing manufacturer for the repurposed application or by the remanufacturing OEM for the original application for remanufacturing is equal or lower than 0 °C (32 °F), the sample shall also be discharged and charged for at least 1 cycle at that minimum operating ambient temperature ±2 °C (±3.6 °F).
- 18.7.3 With the battery connected to a source of supply, it shall be charged in accordance with specifications, while monitoring temperatures, voltage and current on the individual cells or modules during charging, until the battery reaches its fully charged state. At the conclusion of the charge, the battery shall be discharged under maximum, normal loading conditions specified by the repurposing manufacturer based on the repurposed application or by the remanufacturing OEM for the original application for remanufacturing while monitoring temperatures, voltage and current on the cells and modules.
- 18.7.4 Temperatures, voltages and current on the cells and modules measured shall be compared with specifications to determine if they fall within the specified limits. DUTs that have cells and modules outside of the limits for temperature, voltage and current specified by the repurposing manufacturer or by the remanufacturing OEM, shall be rejected and discarded in accordance with 17.3 and Section 20.

### 18.8 Self discharge

- 18.8.1 The modules and cells shall be evaluated for self-discharge in accordance with 18.8.2 as part of the determination of its state of health. Depending upon the level of disassembly for repurposing or remanufacturing (i.e. cell, module or battery pack), the self-discharge shall be checked at the cell level and at the module level. For repurposing or remanufacturing complete battery packs, all modules shall be checked and cells chosen for checking levels of self-discharge, shall be those cells in locations considered to be subject to worst case thermal conditions during their use.
- 18.8.2 The DUTs shall be charged to a fully charged state and then be stored in a controlled environment at room ambient for at least 1 day. The OCV of the fully charged DUT shall be recorded at 5 min, 1 h, and 24 h after charging and at the end of the storage period if longer than 24 h or a higher sampling rate specified by the repurposing manufacturer or remanufacturing OEM during the storage period. The OCV measured at each storage stage shall be compared with the acceptable limit for self-discharge as specified by the repurposing manufacturer or remanufacturing OEM.
- 18.8.3 Self discharge measurements shall be recorded with measured DUTs identified.
- 18.8.4 DUTs with self-discharge level greater than the specified value by the repurposing manufacturer or remanufacturing OEM should be rejected and discarded in accordance with <u>17.3</u> and Section <u>20</u>. DUTs that have not been rejected shall be further processed.

### 18.9 Cell performance and safety characterization

18.9.1 The repurposing manufacturer or remanufacturing OEM shall have a program for long term data gathering on aged cell samples representative of samples for repurposing or remanufacturing. For those module designs where the cells cannot be separated out to gather this data, this testing can be done at the module level. This data shall be used to better characterize used cells' performance and safety to inform the repurposing manufacturer's or remanufacturing OEM's process improvements. Refer to Annex C for additional guidance.

### 19 Testing of Assembled Repurposed or Remanufactured Batteries

- 19.1 Assemblies using repurposed batteries or remanufactured batteries shall comply with the application specific tests for those batteries. See <u>Table 6.1</u> for a list of application specific battery standards that shall be used for test requirements.
- 19.2 Assemblies using repurposed batteries or remanufactured batteries shall comply with the applicable tests in the transportation regulations before shipping.
- 19.3 Samples used for testing shall be representative of design intent with the worst case representative state of health for a given test (e.g. samples with highest level of energy may be worst case for certain tests), using actual repurposed or remanufactured cells/modules that have completed the repurposing or remanufacturing process in accordance with Sections  $\underline{16} \underline{18}$ .

### 20 Disposal of Damaged and Rejected Parts Procedures

20.1 Any parts that are identified for rejection for repurposing or remanufacturing including parts identified for disposal including, but not limited to, rejected cells, modules and complete batteries shall be documented and then disposed in accordance with the repurposing manufacturer's or remanufacturing OEM's process for disposal and local regulations. The process shall identify those parts intended for recycling as well.

20.2 The method for documentation and disposal of rejected parts is to prevent re-introduction of any parts back into the re-use process.

### **PACKING AND SHIPMENT**

### 21 General

21.1 Batteries, module and cells evaluated for repurposing or remanufacturing shall be provided with packaging for shipment in accordance with transport regulations and shall be secured within the packaging to prevent short circuiting or damage from anticipated shipping conditions.

### **MARKINGS**

### 22 General

Advisory Note: Markings required by this Standard may have to be provided in other languages to conform with the language requirements of the country or region where the product is to be used. In Canada, there are two official languages, English and French. Annex <u>B</u> provides translations in French of the English safety markings specified in this Standard.

- 22.1 All required markings shall be permanent and shall be legible with type colors contrasting with the background surface color. Any symbols used shall be provided in the accompanying documentation with an explanation of the symbol.
- 22.2 As part of the repurposing process, all markings from the original manufacturer (e.g. nameplates, models, trademarks (external and for internal battery modules and cells)) shall be removed and replaced with markings provided as part of the re-purposing of the batteries. This includes nameplate markings of the repurposed batteries in accordance with Section 23 to avoid confusion in the field as to who the responsible entity is for the repurposed battery. All nameplates, models, trademarks (external and internal) shall be removed to ensure there is no reference to the OEM after the product has been repurposed.
- Exception No. 1: The markings from the original manufacturer for the internal modules and cells need not be removed if there is no disassembly of the complete battery pack into modules or cells for repurposing.
- Exception No. 2: For OEM markings printed on the batteries that are not easily removed, they may be covered with markings provided as part of the re-purposing of the batteries.
- Exception No. 3: The markings from the original manufacturer need not be removed if it is the same entity conducting the repurposing and the information on the label is still applicable. The repurposed battery will need to be marked per Section 23 to indicate that it has been repurposed.
- 22.3 As part of the remanufacturing process, all markings from the original manufacturer [e.g. nameplates, models, trademarks (external and for internal battery modules and cells)] need not be removed if there is no disassembly of the battery pack.

### 23 Nameplate Markings

23.1 The repurposed batteries, modules and cells shall be provided with a nameplate marking that includes the electrical ratings (i.e. nominal voltage, capacity and/or watt-hour rating); chemistry; model number; repurposing manufacturer's name, trade name or trademark; or other descriptive marking which the organization responsible for the repurposed product may be identified. The part shall also contain the date of repurposing manufacture, which may be in the form of a code, and the repurposed parts shall also be marked "Repurposed" and "UL 1974".

- 23.2 Additional information to be included on the nameplate shall be provided in accordance with the intended application standard. See <u>Table 6.1</u>. For repurposed cells that are not assembled into modules or battery packs, except for the repurposed cell model number and the repurposing manufacturer's name, trade name or trademark, some of the nameplate information (i.e. electrical ratings) may be provided on an accompanying specification sheet shipped with the cells rather than being marked on the cells if space precludes this information.
- 23.3 Remanufactured batteries shall be provided with a nameplate marking that includes the electrical ratings (i.e. nominal voltage, capacity and/or watt-hour rating); chemistry; model number; manufacturer's name, trade name or trademark; or other descriptive marking which the organization responsible for the remanufactured product may be identified. The part shall also contain the date of remanufacture, which may be in the form of a code, and shall also be marked "Remanufactured", "Reconditioned" or "Rebuilt" and "UL 1974".

### 24 Other Markings

24.1 Other markings in accordance with the intended application requirements shall be provided as outlined in the application standard. See Table 6.1.

### **INSTRUCTIONS**

### 25 General

25.1 Storage, installation, operation, maintenance and disposal instructions shall be provided in accordance with the intended end use application standard. See <u>Table 6.1</u>. All information provided on the markings in Sections 22 – 24, shall be included with the information packaged with the devices.

### 26 Routine Maintenance Instructions

26.1 Instructions pertaining to routine maintenance and diagnosis testing that should be performed on a repurposed system shall be provided along with specifying how often and when the routine maintenance and diagnosis testing should be performed. See Annex  $\underline{D}$  for recommended routine maintenance tests and diagnosis. The instructions shall include safety procedures to be followed if there are abnormal test results during maintenance tests and diagnosis, such as replacement of the modules or components.

### **ANNEX A (informative)**

### Useful Information that May be Gathered from the BMS

Table A.1 provides guidance on useful information that may be gathered from the battery BMS to use for repurposing or remanufacturing if available to the repurposing entity.

### Table A.1 Useful BMS data to be retrieved

# Measurement parameters which may be measured by BMS view the full PDF of JL A97A 2023

### Capacity (kAh or kWh):

Measured Capacity

Charge Capacity (total) over lifetime (kAh or kWh)

Discharge Capacity (total) over lifetime (kAh or kWh)

Total charge and discharge capacity over lifetime (kAh or kWh)

### Current (A):

Maximum charge current over lifetime

Maximum discharge current over lifetime

Current distribution during charge and discharge over lifetime

Maximum specified current limit exceededa)

### Voltage (Vdc):

Maximum charge voltage

Minimum discharge voltage

Average cell voltage over lifetime

Maximum cell voltage over lifetime (ID cell)

Minimum cell voltage over lifetime (ID cell)

Battery voltage distribution over lifetime

Average cell voltage distribution over lifetime

Module voltage distribution over lifetime

Maximum cell charge voltage limit exceeded (ID cell)a)

Minimum cell discharge voltage limit exceeded (ID cell)<sup>a)</sup>

### Power (kW):

Maximum charge power over lifetime

Maximum discharge power over lifetime

Power distribution during charge and discharge over lifetime

### State of Charge (SOC) (%):

Maximum SOC over lifetime

Minimum SOC over lifetime

SOC distribution over lifetime (off and on times)

### Temperature (°C):

Maximum and minimum cell temperature over lifetime (ID cell)

Maximum electronics temperature over lifetime

Maximum and minimum cell temperature distribution over lifetime

### **Table A.1 Continued**

### Measurement parameters which may be measured by BMS

Maximum electronics temperature distribution over lifetime

Maximum temperature limited exceeded (ID cell)<sup>a)</sup>

Minimum temperature limit exceeded (ID cell)<sup>a)</sup>

### Time (h):

Lifetime since production

Battery on over lifetime

Battery on charging over lifetime

Battery on discharging over lifetime

Battery on balancing

Battery off over lifetime

Total time for cells in overvoltage condition<sup>a)</sup>

Total time for cells below low voltage limit<sup>a)</sup>

Total time for cells in overheated condition in charge and discharge<sup>a)</sup>

Total time for cells below low temp limit in charge and discharge<sup>a)</sup>

Total time for cells in overcurrent condition under charge and discharge<sup>a)</sup>

### Internal Resistance (Ω):

Measured

Measured vs specified

### Error Messages:

Number or type of BMS error messages recorded (error memory)<sup>b)</sup>

Times contactor(s) switched under load (error indicator)b)

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a) Out of specification limits indicators may be a signal for rejection of the battery for repurposing or remanufacturing. See 17.3 and 17.5

b) Increase in error messages recorded above anticipated normal limit would suggest a problem with BMS or other problems with battery. An error message limit needs to be determined as cause for rejection of batteries for repurposing or remanufacturing. See 17.3